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U.S. Department of Justice

Washington, DC 20530

OMB No. 1124-0003; Expires April 30, 2017

Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at http://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: http://www.fara.gov. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: http://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

| 1. Name of Registrant | 2. Registration No. | |
|---|-----------------------|-------------|
| Capitol Counsel LLC | 6253 | |
| This amendment is filed to accomplish the following indicat To give a 10-day notice of change in information as requ | | e Act. |
| ☑ To correct a deficiency in ☑ Initial Statement | | |
| ☐ Supplemental Statement for the period ending | Short Form Statements | |
| ☐ To give notice of change in an exhibit previously filed. | | |
| If this amendment requires the filing of a document or document or document. Initial Registration Statement, Exhibit B, Exhibit C, and (2) S | • • | |

5. Each item checked above must be explained below in full detail together with, where appropriate, specific reference to and identity of the item in the registration statement to which it pertains. (If space is insufficient, a full insert page must be used.)

Made corrections and clarifications to Questions 5, 9, and 10 in the initial Registration Statement. Made correction to Question 9 in Exhibit B and attached corrected copy of contract. Added Exhibit C with Capitol Counsel LLC Articles of Organization. Made corrections to Questions 5, 12, 13, 14, and 15 on the Short Form Statement and added an additional Short Form Statement for another employee.

FORM NSD-5 Revised 03/14

EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Print or type name under each signature or provide electronic signature)

10/24/2014

John D. Raffaelli

John D. Raffaeli

Jeffery M Wa Her

This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

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U.S. Department of Justice Washington, DC 20530

OMB No. 1124-0001; Expires April 30, 2017

Registration Statement

Pursuant to the Foreign Agents Registration Act of 1938, as amended

| | I–REGIST | RANT | | | | |
|---|--|-----------------|--------------------------|---------------------------------------|-----------|-----|
| Name of Registrant Capitol Counsel LLC | | | | ; | | |
| 2. Registration No. (To Be Assigned By the FAR | A Registration Unit) | A CONTRACTOR | | · · · · · · · · · · · · · · · · · · · | | |
| 6253 | | | | | * | |
| 3. Principal Business Address | | | | | | |
| 700 - 13th Street, NW, Suite 200 Washington, DC 20005 | | | | · . · · | | . • |
| | | | | • | | |
| 4. If the registrant is an individual, furnish the fol (a) Residence address(es) | lowing information: | | | | | |
| | $\mathcal{A}_{\mathcal{A}}}}}}}}}}$ | | | | | |
| (b) Other business address(es), if any | | | | | | |
| (c) Nationality | | | | | | |
| (d) Year of birth (e) Present citizenship | | | | | | |
| (f) If present citizenship not acquired by birth, | state when, where an | d how acquired | | | | |
| (g) Occupation | | | | | | |
| 5. If the registrant is not an individual, furnish the | following informatio | n: | | | | |
| (a) Type of organization: Committee Corporation | | | ership Limited Liabilit | | ary group | |
| (b) Date and place of organization 11/28/200 | 6; Washington, DC | | ; | | • | |
| (c) Address of principal office 700 - 13th Stre | eet, NW, Suite 200, W | ashington, DC 2 | 20005 | | | |
| (d) Name of person in charge John D. Raffael | li 4, . | · | | • | | |
| (e) Locations of branch or local offices Washi | | | | | | - |
| (f) If a membership organization, give number | of members See 5(g |) · · . | | | | |

| ſPΑ | G | F. | 2 |
|--------|---|----|---|
| (1.4.1 | • | _ | 4 |

| いらり | Toise air barmers, ornicers, one | servis or bersoms betrountiff me indental | s of an officer of direct | or of the registrant. |
|-----|----------------------------------|---|------------------------------|-----------------------|
| | | | and the second of the second | ,, |
| | | | | |
| | | | | |
| | Name | Residence Address(es) | Position | Nationality |
| | | 110010000011201000(05) | r ogition | танонанту |

Please see full list attached.

- (h) Which of the above named persons renders services directly in furtherance of the interests of any of the foreign principals?

 John D. Raffaelli
- Describe the nature of the registrant's regular business or activity.
 Government Relations Firm
- (j) Give a complete statement of the ownership and control of the registrant.

 Capitol Counsel is owned by its six shareholders: Jim McCrery; John D. Raffaelli; Denise Henry Morrisey; Shannon Finley; David Jones; and Richard Sullivan.
- 6. List all employees who render services to the registrant directly in furtherance of the interests of any of the foreign principals in other than a clerical, secretarial, or in a related or similar capacity.

Name

Residence Address(es)

Nature of Services

John D. Raffaelli

503 High Street Alexandria, VA 22302 **Government Relations Consulting**

Jeffery Walter

2001 Summit Terrace Alexandria, VA 22307

Government Relations Consulting

(PAGE 3)

| | II-FOREI | GN PRINCIPAL | | |
|--|---------------------------|---|----------------------|---------------------------------------|
| 7. List every foreign principal ¹ for whom | the registrant is acting | or has agreed to act. | | |
| Foreign Principal | | Prin | cipal Address(es) | |
| Russian Direct Investment Fund | | Capital City, South Tow | er, 7th Floor, 8 blo | i. |
| | | 1 Presnenskaya nab. Moscow, Russia 12331 | 7 | , |
| | | MIOSCOW, NUSSIA 12001. | | |
| | | ngasi. Tanggar | | |
| | · . | | | · · · · · · · · · · · · · · · · · · · |
| | III—A | CTIVITIES | | · · · · · · · · · · · · · · · · · · · |
| 8. In addition to the activities described i | in any Exhibit B to this: | statement, will you engage | or are you engagin | g now in activity on |
| your own behalf which benefits any or | ., | | No ⊠ | |
| If yes, describe fully. | | | | |
| | na ma | | | |
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| | | | d . | |
| | | | | |
| | IV-FINANCIA | L INFORMATION | | • |
| 9. (a) RECEIPTS-MONIES | | • | | , |
| During the period beginning 60 days | | | | |
| did you receive from any foreign print disbursement or otherwise? Yes | · . = | ny contribution, income, or | r money either as c | ompensation or for |
| | = , | | .1 | ah mandan 3 |
| If yes, set forth below in the required | derain and separately to | r each such foreign princip | el an account of suc | on monies. |
| Foreign Principal | Date Received | Purpose | | Amount |
| Russian Direct Investment Fund | September 12, 2014 | initial Retainer for 60 d | ays' services, to | \$90,000.00 |

\$90,000.00

Total

¹ The term "foreign principal," as defined in Section 1(b) of the Act, includes a foreign government, foreign political party, foreign organization, foreign individual and, for the purpose of registration, an organization or an individual any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

2 An agent must register within ten days of becoming an agent, and before acting as such.

3 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising

campaign. There is no printed form for this exhibit. (See Rule 201(e), 28 C.F.R. § 5.201(e)).

| | f yes, furnish the following inform | atiain. | | | |
|--------------|--|---|---|--|--|
| F | | auon. | | | |
| | Foreign Principal | Date Received | • | Thing of Value | Purpose |
| | | | | | |
| 10. (| (a) DISBURSEMENT-MONIES | 2 | | | |
| s I | During the period beginning 60 dayspend or disburse any money in furtitem 7? Yes f yes, set forth below in the require f any, to each foreign principal. | herance of or in cor No 区 | nnection with yo | ur activities on behalf o | of any foreign principal named in |
| I | Date | To Whom | | Purpose | Amount |
| | | | | * | |
| | | | | | |
| Ì. d | b) DISBURSEMENTS-THINGS During the period beginning 60 days dispose of any thing of value ⁸ other principal named in Item 7? Yes | s prior to the date or than money in furth | nerance of or in o | to register, to the time connection with your ac | of filing this statement, did you tivities on behalf of any foreign |
| I | f yes, furnish the following informati | on: | | | |
| Į | Date Recipient | Foreign | Principal | Thing of Value | Purpose |
| | | | | | |
| Ĝ | c) DISBURSEMENTS-POLITIC | AL CONTRIBUT | TONS | | *** |
| E tl ŷ | During the period beginning 60 days he registrant, or any short form regi your own behalf in connection with aucus held to select candidates for | s prior to the date of strant, make any co an election to any p | f your obligation ntribution of mo olitical office or | ney or other thing of v | alue from your own funds and o |
| I | f yes, furnish the following informs | tion: | | | |
| - | Date Amount or Thing of | Value Politica | il Organization o | or Candidate | Location of Event |
| E | the state of the s | | | • | |
| | lease see | | | | |

^{4, 6, 7} and 9 See Footnote 2, on page 3.
5 and 8 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks", and the like.

| | V-INFO | DRMATIONAL MAT | ERIALS ¹⁰ | |
|--|---|-------------------------------|---|---------------------------------------|
| | | | | |
| | gistrant on behalf of an | ry foreign principal include | e the preparation or disseminatio | n of informational |
| IF YES, RESPOND TO T | HE DEMAINING ITE | MS IN THIS SECTION V | | • 3 ; |
| II TES, ICESI OND TO T | III KIMMAMAMA III | MIS IN TIME SISCILLY | | |
| 0 X11 246 1 1 1 1 0 1 | | | | · · · · · · · · · · · · · · · · · · · |
| 2. Identify each such foreign | principal. | | × | |
| | | | | |
| | | | | |
| | | | · · · · · · · · · · · · · · · · · · · | |
| | · | | ************************************** | |
| Has a budget been establishinformational materials? | hed or specified sum of Yes | 1999 | ce your activities in preparing or | disseminating |
| If yes, identify each such for | oreign principal specif | fy amount and for what ner | ind of time | |
| 11 yos, twottury caon such to | oreign brunerbart sheeri | ly amount and for what por | ios or anno. | |
| | | | | |
| | • | | | |
| | | | | |
| | | | | |
| | <u> </u> | | | |
| 4. Will any public relations fi | rms or publicity agents | s participate in the preparat | ion or dissemination of such infe | ormational materia |
| Yes ☐ No | e 🛅 i e e e e e e e e e e e e e e e e e e | | • | |
| If yes, furnish the names a | nd addragges of migh m | erone or firms | | · · · · · · · · · · · · · · · · · · · |
| ii yes, iuiman uic names a | to addresses of such po | oraona of thins: | | |
| | | | : : | |
| | 3 | | | |
| | * ".*. | | • | |
| | | | | |
| 5. Activities in preparing or d | issemmating informati | onal materials will include | the use of the following: | |
| ☐ Radio or TV broadcasts | ☐ Magazine or nev | vspaper | re films 🔲 Letters or | telegrams |
| Advertising campaigns | ☐ Press releases | ☐ Pamphlets or | other publications Lectures of | or speeches |
| Other (specify) | | | | |
| lectronic Communications | | | | |
| Bmail | | | | F |
| ☐ Website URL(s): | | | | |
| | (A). | | | |
| Social media website URL | ,s): | | | |
| Other (specify) | | | | |
| 6. Informational materials wil | l be disseminated amor | ng the following groups: | | |
| ☐ Public officials | | | or associations | |
| Legislators | | ☐ Libraries | ······································ | • |
| ☐ Government agen | cies | ☐ Educational g | | |
| ☐ Newspapers | | ☐ Nationality g | | |
| ☐ Editors | | Other (specify | v) | |
| 7 Indicate language 4- La | | matarialat | | - Wall |
| 7. Indicate language to be use English | a in the infolmational (| materials: Other (specif | i,i | • |
| m ruknon | | L Outer (specif | // | |
| <u>and the second </u> | | <u> </u> | | |

10 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, tectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filled pursuant to Section 4(b) of the Act.

VI-EXHIBITS AND ATTACHMENTS

- 18. (a) The following described exhibits shall be filed with an initial registration statement:
 - Exhibit A- This exhibit, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal named in Item 7.
 - Exhibit B- This exhibit, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.
 - (b) An Exhibit C shall be filed when applicable. This exhibit, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530. (See Rule 201(c) and (d)).
 - (c) An Exhibit D shall be filed when applicable. This exhibit, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal. (See Rule 201 (e)).

VII-EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹¹)

10/24/2014 John D. Raffaelli
John D. Raffaelli
10/24/2014
Jeffery M. Walter

¹¹ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

REGISTRATION STATEMENT ATTACHMENT 1

5(g) List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.

| <u>Name</u> | Residence Address(es) | Position | Nationality |
|-----------------------|---|----------|--------------------|
| John D. Raffaelli | 503 High Street Alexandria, VA 22302 | Member | USA |
| Shannon Finley | 2640 44th Street, NW Washington, DC 20007 | Member | USA |
| Denise Henry Morrisey | 7400 Park Terrace Dr. Alexandria, VA 22307 | Member | USA |
| Jim McCrery | 9018 Wisterian Way Shreveport, LA 71106 | Member | USA |
| Richard Sullivan | 2709 Fairview Road Raleigh, NC 27608 | Member | USA |
| David Jones | 301 Tingey St., SE Washington, DC 20003 | Member | USA |

REGISTRATION STATEMENT ATTACHMENT 2

10(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During the period beginning 60 days prior to the date of your obligation to register to the time of filing this statement, did you, the registrant, or any short form registrant, make any contribution of money or other thing of value from your own funds and on your own behalf in connection with any election to any political office or in connection with any primary election, convention, or caucus held to select candidates for any political office? If yes, furnish the following information:

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| <u>Date</u> | Amount or Thing of Value | Political Organization or Candidate | Location of Event |
|-------------|-----------------------------|--|-------------------|
| 07/09/2014 | \$2,000 (Jeffery Walter) | Cory Gardner For Senate | Washington, DC |
| 07/15/2014 | \$500 (Jeffery Walter) | Latta For Congress | Washington, DC |
| 07/31/2014 | \$1,000 (Jeffery Walter) | Frelinghuysen For Congress | Washington, DC |
| 08/06/2014 | \$1,000 (Jeffery Walter) | Bill Cassidy For Senate | Washington, DC |
| 09/11/2014 | \$1,000 (Jeffery Walter) | Team Mitch, McConnell Senate Committee '14 | Washington, DC |
| 09/12/2014 | \$1,000 (Jeffery Walter) | Perdue For Senate | Washington, DC |
| 09/16/2014 | \$1,000 (Jeffery Walter) | Flake For Senate | Washington, DC |
| 09/16/2014 | \$500 (Jeffery Walter) | Melinda Garcia For Congress | Washington, DC |
| 09/17/2014 | \$1,000 (Jeffery Walter) | Responsibility and Freedom Work PAC | Washington, DC |
| 09/18/2014 | \$500 (Jeffery Walter) | Randy Neugebauer For Congress | Washington, DC |
| 08/26/2014 | \$1,000 (John D. Raffaelli) | Keystone America PAC | Washington, DC |
| 09/22/2014 | \$500 (John D. Raffaelli) | Ebbin For Virginia | Washington, DC |
| 09/29/2014 | \$500 (John D. Raffaelli) | Committee to Re-Elect Linda Sanchez | Washington, DC |
| 09/29/2014 | \$1,000 (John D. Raffaelli) | Patrick Henry Hayes For Congress | Washington, DC |